

Application form – Natural person
ISEC Services AB is Management Company for Impact Credit
Opportunity Fund
Portfolio Management is delegated to PAM Capital AB

We need this form to be able to register you as a client. Send this form together with the required documents to our address seen below. Due to law, we cannot invest your money in fund units unless we receive a complete application form, know your customer data and a certified copy of proof of identification.

Note that we are not able to open accounts for US Persons.

Name		Personal identity number
Address		E-mail
Phone number	Mobile number	Tax residence
Name of guardian (If applicable)		Personal identity number
Name of guardian (If applicable)		Personal identity number

Banking details (For payouts/redemptions)

(We can only transfer money to an account held by the legal owner of the fund units)

IBAN	BIC	Bank
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When registration is done and you have received confirmation from us, you start investing by transferring money to the funds account. You can also find information regarding how to buy and sell fund units in each fund's information brochure and fund's key investor information document and on our webpage: www.isec.com/funds

Mandatory documents for natural persons:

- A certified passport or ID-card.
- If the notification concerns a minor, a certified copy of the ID document is required from both guardians (If applicable) and the signatures of both guardians.
- Completed forms: Know your customer questionnaire and a certification regarding tax domicile.
- (If applicable) Authorisation documents for power of attorney.

Please note: If the documents required above are not attached, the issuance of fund units might be delayed/cancelled.

Know Your Customer (KYC)

According to the law on measures against money laundering and terrorist financing, demands has been imposed on fund management companies. These demands include an obligation to acquire knowledge of all clients. We therefore ask you to answer the following questions.

1. Does the savings refer to another natural person?

- No Yes, please specify:

2. What is your investment horizon?

- Short range (< 1 year) Medium range (1-5 years) Long range (> 5 years)

3. Type of saving:

- One-time savings Periodic savings (Monthly) Periodic (Semi-annually)
 Periodic savings (Annually) 1-5 times a year Frequent (6 or more times a year)

4. What amount do you estimate an average transaction will be to (SEK)?

- 0 – 1 000 1 000 – 10 000 10 000 – 100 000 100 000 – 500 000
 500 000 – 1 000 000 1 000 000 – 5 000 000 5 000 000 – 10 000 000

5. Total annual income (SEK)?

- 0 – 100 000 100 000 – 300 000 300 000 – 500 000 500 000 - 1 000 000
 1 000 000 – 5 000 000 5 000 000 – 10 000 000

6. Origin of funds? (Multiple choices may be selected)

- Securities Pension Real estate sales Company sales
 Salary Inheritance / Gift Other, please specify:

Customer declaration

PEP–Politically Exposed Persons

According to the law on measures against money laundering and terrorist financing the fund management company is obliged to acquire knowledge of Politically Exposed Persons (PEP). This includes people who have or have previously held important public functions in a country. Applies both in Sweden and EU.

A) Is or has a beneficial owner for the company (including yourself), family member or known employee to you been a politically exposed person?

- No. Yes. If "YES", state who and what by the table to the right

Name
Personal identity number
Position
Country

B) Relationship with person according to A)

Name
Personal identity number
Position
Country

C) Known employee to person according to A)

Name
Personal identity number
Position
Country

Positions that means that a person is a politically exposed person:

- The Head of State or Government, minister or vice / deputy minister
- Member of parliament
- Judge of Supreme Court, the Constitutional Court or other judicial bodies at high level, whose decisions may exceptionally be appealed
- Senior official of the audit authority or member of the central bank
- Ambassador, diplomatic envoy or high officer in the armed forces
- Person who is part of state-owned company or administrative authority
- Any of the above positions at the international level
- A director of an international organization (eg. UN, UN-affiliated organizations, the Council of Europe, NATO and WTO).

Close family member means:

- Spouse considered by national law as equivalent to the spouse, partner, according to the definition in the Common Law Act (2003:376)
- Children or children's spouse / partner
- Parent

Known employee means:

- A.) Natural person who has or had close relations with a politically exposed person; for example by being a beneficial owner of a legal person or close political relations.
- B.) Natural person who, jointly with a person in a politically exposed position, is a partner in- or has control over a company or other legal structure.
- C.) Natural person who is the sole owner or has controlling influence over a company or other legal structure established for the benefit of a person in a politically exposed person.

- I want to report additional PEP/RCA and thus be contacted by customer service.

Certification of tax liability in other country than Sweden – Natural Person

1. Natural Person – certification regarding tax liability (in another country than Sweden)

The undersigned certify that:

	YES	NO
The undersigned has its residency in another country than Sweden.	<input type="checkbox"/>	<input type="checkbox"/>

If "Yes": please state country and tax identification number.

Country 1:
TIN:
No TIN

Country 2:
TIN:
No TIN

Country 3:
TIN:
No TIN

Country 4:
TIN:
No TIN

Information regarding additional states and jurisdictions must be provided separately.

2. Natural Person – Special statement regarding tax liability in the United States - FATCA

The undersigned certify that:

	YES	NO
I am an American person according to American tax law so-called "US Person".	<input type="checkbox"/>	<input type="checkbox"/>

"US person"

- American citizen (also person with dual citizenship).
- Anyone who is otherwise tax resident in the United States; person residing in the United States, holder of a U.S. residence and work permit (so-called "Green card"). Please note that this group may also include those who have renounced their American citizenship or who have previously been holders of so-called Green card. These people are subject to special transitional rules under U.S. tax law. For more information, see the IRS website www.irs.gov

City and date	City and date
Client signature	Guardian's signature
Name in print	Guardian's name in print

Processing of personal data

ISEC Group AB and its subsidiaries ISEC Systems AB, ISEC Services AB and ISEC Administration (hereinafter referred to as "ISEC") undertake to respect and protect your personal data and your personal privacy in accordance with applicable law, industry rules and other relevant standards. Personal data is processed for the purpose of ISEC being able to provide our customers with access to our systems and services. The processing of personal data that ISEC carries out includes, among other things, collection, registration, organization, structuring, storage and processing or modification. ISEC has the right regarding its obligations to our customers to send personal data to third-party suppliers in order to be able to carry out the necessary register checks of business partners for control purposes. This includes, for example, that ISEC may pass on personal data to the custodian institute that is exposed by ISEC's business partners. ISEC also forwards personal data in accordance with current tax legislation and agreements with business partners to the Swedish Financial Supervisory Authority and the Swedish Tax Agency. Inquiries regarding personal data in connection with the new Data Protection Ordinance must always be made to the person responsible for personal data ISEC Services AB, Vattugatan 17, 111 52 Stockholm is responsible for personal data for ISEC's fund hotels. Where ISEC handles outsourcing of administrative services and / or IT operations, ISEC's respective business partners are responsible for personal data. Further information regarding the processing of personal data can be found at: <https://www.isec.com/privacy-policy>.

Signatures

- We hereby certify that the information above is current and correct.
- We confirm that the purpose of our intentions is savings in mutual funds.
- We vow to disclose to ISEC Services AB any new information in the event of any changes or updates to the details given such as name, address.
- We have attached a copy of valid proof of identification.
- We agree with and approve the following: that the Company may use this information if the authority so requests; that the Company processes my / our personal data for the fulfillment of this agreement and the Company's legal obligations; that the Company processes my / our social security numbers for the purpose of providing information to me / us and that the Company and companies within the Group may use my / our personal data for marketing purposes.
- The Company has the right to forward my / our customer information to partners with whom the Company has signed an assignment agreement regarding portfolio management.
- The Company's partner in portfolio management can also use my / our personal data for marketing purposes.
- We hereby confirm that we have read, understood and accepted the contents of the funds' fact sheets, information brochure and fund regulations.
- The Company does not provide financial advice in accordance with law (2003: 862) which relates to financial advice to consumers. By signing this application form, it is confirmed that I / we have not commissioned the fund company to provide such a service and can not receive such from the Company.

City and date	Signature and name in print
City and date	Guardian's signature and name in print
City and date	Guardian's signature and name in print

Identify Yourself (person the account is referring to)

- Place the ID document in the box below. If the agreement refers to a minor, a copy of all guardians' ID documents is also required.
- Nordic/EU/EEA citizen: copy of passport or national ID document.
- Scan the biographical page.
- Ask a person of age to certify. A copy without certification does not get accepted.
- Send the complete application to us.

Please attach your passport, driving license or other ID-documents here. Please be advised that the certificated information and the copied ID should be on the same page.

PASSPORT

IDENTIFICATION

Please note: A screenshot of passport, driving license or other ID-document is valid as long at the identification is certified below.

Certification – I hereby confirm that the copy corresponds to the original

Signature (the one who witnesses the copy)	Phone number (the one who witnesses the copy)
Printed name (the one who witnesses the copy)	
City and date (the one who witnesses the copy)	